

Substitute Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
12406-003002Application No.  
09/731,220Information Disclosure Statement  
by Applicant

(Use several sheets if necessary)

Applicant  
Hohn et al.Filing Date  
December 6, 2000Group Art Unit  
2815

## U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>[Signature]</i>	B AA	6,069,440	05/30/2000	Shimizu et al.	313	486	
	B AB						
	B AC						

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>[Signature]</i>	B AD	52-40959	10/15/1977	Japan	33	00	X	
<i>[Signature]</i>	B AE	9-73807	03/18/1997	Japan	8	00	X	
<i>[Signature]</i>	B AF	50-43913	04/21/1975	Japan	15	05	X	
<i>[Signature]</i>	B AG	7-99345	04/11/1995	Japan	33	00		X
<i>[Signature]</i>	B AH	46-7462	12/22/1971	Japan				X
<i>[Signature]</i>	B AI	5-152609	06/18/1993	Japan	33	00	X	
<i>[Signature]</i>	B AJ	7-176794	07/14/1995	Japan			X	
<i>[Signature]</i>	B AK	2-91980	09/29/1988	Japan			X	
<i>[Signature]</i>	B AL							
<i>[Signature]</i>	B AM	6-69546	03/11/1994	Japan	33	00		
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## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
<i>[Signature]</i>	B AQ	English Translation of Japanese 01175103 A, July 11, 1989.
<i>[Signature]</i>	B AR	English Translation of Japanese 01260707 A, October 18, 1989.
<i>[Signature]</i>	B AS	Phosphor Handbook, English Translation of (BAT)
<i>[Signature]</i>	B AT	"Phosphor Handbook", Ohm 1987, pp. 172-174, 188-189, 270, 275-276, 383-385.
<i>[Signature]</i>	B AU	Nakamura, Shuji et al., "Candela-class high-brightness InGaN/AlGaN double-heterostructure blue-light-emitting diodes, App. Phys. Lett 64 (13) March 28, 1994, pp. 1687-1689.

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-003002	Application No. 09/731,220
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Hohn et al.	
		Filing Date December 6, 2000	Group Art Unit 2815

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
<i>[Signature]</i>	B AV	Nakamuri, T, "Nichia Chemical starts the sample shipment of white light Emitting Diode", Nikkei Electronics, September 23, 1996 (No. 671), pp. 15-16.
<i>[Signature]</i>	B AW	English translation of (BAV)
<i>[Signature]</i>	B AX	Schlotter, P. et al., "Luminescence conversion of blue light emitting diodes", Applied Physics A, Springer Verlag (publ.), April 1997, vol. 4, pp. 417-418.
<i>[Signature]</i>	B AY	Material Safety Data Sheet, pp. 1 and 2, and Lamp Phosphor Data Sheet of Phosphor NP-204 of Nichia Corporation.
<i>[Signature]</i>	B AZ	"Phosphor and Emitter", Osram GmbH, June 1997.
<i>[Signature]</i>	B AAA	Proceedings of 264 <sup>th</sup> Institute of Phosphor Society, "Development and Application of high bright white LED Lamps", November 29, 1996, pp. 5-14.
<i>[Signature]</i>	B ABB	English Translation of (BAAA)
<i>[Signature]</i>	B ACC	English Translation of Japanese Patent Application No. 245381, Filed September 18, 1996, Nichia Chemical Industries Ltd.
<i>[Signature]</i>	B ADD	English Translation of Japanese Patent Application No. 359004, Filed December 27, 1996, Nichia Chemical Industries Ltd.
<i>[Signature]</i>	B AEE	English Translation of Japanese Patent Application No. 198585, Filed July 29, 1996, Nichia Chemical Industries Ltd.
<i>[Signature]</i>	B AFF	English Translation of Japanese Patent Application No. 018010, Filed March 31, 1997, Nichia Chemical Industries Ltd.
<i>[Signature]</i>	B AGG	Nikkei Sangyo Shimbun (Nikkei Industrial Newspaper), September 13, 1996.
<i>[Signature]</i>	B AHH	English Abstract of Japanese 7-99345, April 11, 1995

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